paraplanning ONLINE

A Smarter Suitability Report Builder

Paraplanning Online Company Account

Streamlining Compliance - The Challenge

The principles of "Treating Customers Fairly" clearly outline the need for companies to be able to demonstrate that their clients are receiving **fair and consistent outcomes** irrespective of which of their advisers has provided the advice. Ensuring that each client is provided with a personal, yet consistent suitability report that clearly details the reasons for the advice being given and justifies any associated adviser remuneration is an integral part of this process.

Larger companies and networks have typically provided their advisers with a series of report templates in an attempt to overcome this challenge. Using such templates can undoubtedly save time, however keeping them up-to-date requires costly centralised technical resource, enforcing the intended content can prove difficult and copying and pasting can result in errors and omissions being made that can render the report non-compliant.

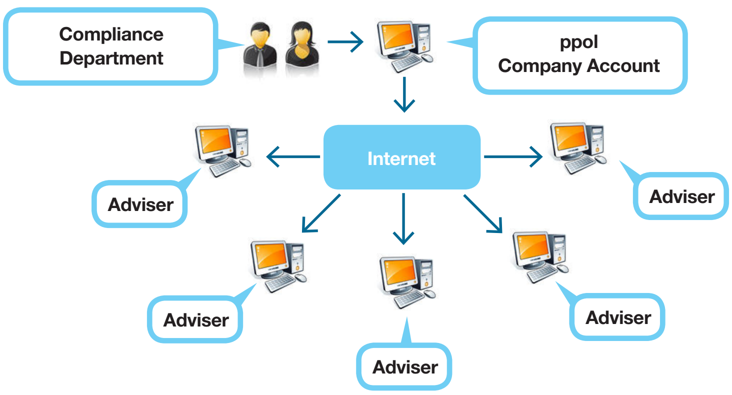
Moreover, in an increasingly sophisticated and regulated market place more and more reports require compliance pre-approval. This process is extremely resource hungry and time consuming, particularly if the reports are set out in a variety of formats and styles.

Paraplanning Online - The Solution

The Paraplanning Online Company Account not only provides your advisers with the most advanced, yet easy to use web-based suitability report writing solution on the market today, it also allows the content of the reports to be managed by a centralised compliance (or similar) function. Company specific wording reflecting your in-house preferences and style of advising can be easily inserted and distributed to your advisers using the unique Report Template Editor and "Add Additional Option" functionality.

It is also possible to centrally manage and distribute favourite funds and model portfolios to the advisers under the Company Account for easy inclusion within their reports, thereby saving time and the need to re-key data.

As technology evolves, more companies are using web-based applications to implement a common framework and integrated approach to meet their requirements. Adopting a consistent suitability report style across your company will invariably help streamline the process of compliance checking, which will in turn save precious time and resources, mitigate business risk and strengthen your audit trail.



Why Paraplanning Online?

Paraplanning Online's best of breed suitability report writing solution has many benefits including:

> Easy-to-use intuitive wizard-driven report builder saves time and ensures accuracy

> Kept right up-to-date with regards to compliance, tax law and government legislation

> Regular prompts to include additional answers / reasons ensures personalisation

> Customisable to reflect individual / company preferences and style of advising

> Favourite funds and portfolio library saves the need to re-key data

> Ability to include multiple recommendations within the same report

> Scalable to meet the needs of individual IFAs and multi-adviser practices

> 24/7 web enabled access from any location

> No need to install software locally

Customer services and Support

The PPOL website contains a wealth of online support material including training videos, example reports and regular hints and tips to name but a few. Our customer services team are all experienced industry professionals who are dedicated to offering first class technical support and proactive account management.

For more information please visit www.ppol.co.uk
or contact our customer services team on 0845 201 1423